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SAP AG, SAP AMERICA, INC., and  
18 TOMORROWNOW, INC.

19 UNITED STATES DISTRICT COURT  
20 NORTHERN DISTRICT OF CALIFORNIA  
21 SAN FRANCISCO DIVISION

22 ORACLE USA, INC., et al.,

23 Plaintiffs,

24 v.

25 SAP AG, et al.,

26 Defendants.

27 Case No. 07-CV-1658 PJH (EDL)

28 **DECLARATION OF ELAINE  
WALLACE IN SUPPORT OF  
DEFENDANTS' MOTION TO  
COMPEL DISCOVERY RELEVANT  
TO PLAINTIFFS' COPYRIGHT  
CLAIMS**

Date: May 19, 2009

Time: TBD

Courtroom: E, 15<sup>th</sup> Floor

Judge: Hon. Elizabeth D. Laporte

1 I, ELAINE WALLACE, declare:

2 I am an associate with the law firm of Jones Day and counsel for Defendants in the above-  
3 captioned matter. I make this declaration based on personal knowledge and, if called upon to do  
4 so, could testify competently thereto.

5 1. Attached hereto as Exhibit A is a chart, created at my direction, summarizing certain  
6 information contained in the eighty-three copyright registrations (the “Registered Works”)  
7 identified by Plaintiffs Oracle USA, Inc., Oracle International Corporation, and Oracle EMEA  
8 Ltd (“Oracle” or “Plaintiffs”) in the Third Amended Complaint, which is the current operative  
9 complaint. For each Registered Work, the chart lists: (a) the registration number; (b) the title of  
10 the work; (c) the date of first publication; (d) the registration date; (e) whether the work is a  
11 derivative work and, if so, the identifying information for preexisting materials. The chart also  
12 indicates whether Oracle has produced a copy of the Registered Work or not.

13 2. I have personally reviewed the registrations for each Registered Work. Fifty-six of  
14 the Registered Works purport to consist of software, fifteen of software and user documentation,  
15 and twelve of user documentation alone.

16 3. On July 26, 2007, Defendants served their First Set of Document Requests to  
17 Plaintiffs. Request for Production (“RFP”) No. 55 asked for “[a]ll documents relating to  
18 ownership of the Registered Works, including but not limited to, Documents concerning  
19 licensing, assignment, transfer, or conveyance of any rights in the Registered Works. RFP No. 63  
20 asked for “[c]omplete copies of each of the Registered Works.” Oracle responded to RFP Nos.  
21 55 and 63 on September 14, 2007. A true and correct copy of Oracle’s response is attached  
22 hereto as Exhibit B.

23 4. On January 28, 2008, Defendants moved to compel production of the Registered  
24 Works, including the current development environments, by letter brief submitted to Special  
25 Discovery Master, Judge Legge. The letter brief is designated “Confidential” pursuant to the  
26 protective order in the case. To avoid filing an unnecessary sealing motion, Defendants have not  
27 attached it here. However, Defendants will promptly provide a copy, should the Court request  
28 one.

1       5. Oracle responded to Defendants' motion on February 7, 2008, confirming its  
2 agreement to produce Registered Works other than the current development environments.  
3 Oracle's letter brief is also designated "Confidential" pursuant to the protective order in the case.  
4 To avoid filing an unnecessary sealing motion, Defendants have not attached it here. However,  
5 Defendants will promptly provide a copy, should the Court request one.

6       6. Subsequent to Judge Legge's ruling on the motion to compel, Oracle identified  
7 additional Registered Works at issue. Oracle's position has been the same with respect to those  
8 Registered Works. For example, at the March 31, 2009 discovery conference and in an April 8,  
9 2009 telephone meet and confer, Mr. Alinder, Oracle's counsel, confirmed Oracle's agreement to  
10 produce copies of the Registered Works.

11      7. Attached hereto as Exhibit C is a true and correct copy of an excerpt from the  
12 transcript of the February 13, 2008 hearing with Judge Legge on Defendants' motion to compel  
13 production of, among other things, copies of the Registered Works.

14      8. Attached hereto as Exhibit D is a true and correct copy of an excerpt from the  
15 Special Discovery Master's February 22, 2008 Report and Recommendations Re: Discovery  
16 Hearing No. 1.

17      9. On April 8, 2009, I sent an email to Oracle's counsel summarizing Oracle's past  
18 position regarding production of the current development environments and requesting a meet  
19 and confer prior to filing this motion to compel to discuss whether Oracle's position remains the  
20 same. I received a response the same day from Mr. Alinder expressing concern that this was an  
21 "attempt to re-litigate an issue decided by Judge Legge over a year ago ..." and asking why the  
22 information is relevant to the motion to compel. I responded to Mr. Alinder on April 9,  
23 explaining Defendants' position that it is relevant to Defendants' request that Oracle produce  
24 copies of all allegedly infringed material. I did not receive any further response from Mr.  
25 Alinder.

26      10. Attached hereto as Exhibit E is a true and correct copy of Defendants' First Notice  
27 of Deposition of Plaintiff Oracle International Corporation Pursuant to federal Rule of Civil  
28 Procedure 30(b)(6), served on June 10, 2008.

1           11. Oracle objected to Topic No. 7 primarily on the ground that it purportedly called  
2 for expert testimony. Defendants disagreed but agreed to provide Oracle some examples of the  
3 kinds of questions Defendants anticipated asking in order to facilitate further discussion.  
4 Defendants plan to pursue this issue once the issue of discovery or preexisting and new material  
5 in derivative works is decided by this Motion.

6           12. Defendants' counsel met and conferred with Oracle's counsel numerous times  
7 regarding Topics 5, 6, and 7 in the Rule 30(b)(6) deposition notice. Oracle objected to Topics 5  
8 and 6 on several grounds, but primarily on the ground that they were purportedly more  
9 appropriate for interrogatory responses than deposition testimony. Defendants agreed to accept  
10 Oracle's supplemental response to Interrogatory No. 13 in lieu of deposition testimony, while  
11 reserving their rights to seek testimony if the supplemental response proved inadequate.

12          13. Oracle has designated Plaintiffs' First Supplemental Responses and Objections to  
13 Defendant TomorrowNow, Inc.'s Interrogatory No. 13 (the "Supplemental Response") as "Highly  
14 Confidential" by Oracle. Defendants have requested Oracle's consent to file the Supplemental  
15 Response publicly and expects a response shortly. If Oracle agrees, Defendants will submit an  
16 amended version of this declaration with the a true and correct copy of the Supplemental  
17 Response attached as Exhibit F. If Oracle does not agree, Defendants will submit the  
18 Supplemental Response under seal.

19          14. Oracle served the Supplemental Response on December 5, 2008. On December  
20 11, I sent an email to Mr. Alinder explaining that Defendants were still evaluating the  
21 Supplemental Response but that it appeared to not contain any information responsive to Topic  
22 No. 6 in Defendants Rule 30(b)(6) notice to OIC. Mr. Alinder responded on December 19,  
23 stating Oracle's position that the information responsive to Topic No. 6 is contained in documents  
24 referenced in the Supplemental Response and in the Customer Connection databases Oracle  
25 planned to produce. Mr. Alinder also offered to provide a limited further supplementation of the  
26 response. On January 9, 2009, I emailed Mr. Alinder again to inform him that we had reviewed  
27 the documents referenced in the Supplemental Response and that we did not believe it was  
28

1 possible for us to determine the responsive information from them. We then scheduled a meet  
2 and confer to discuss the issue further.

3       15. On January 16, 2009, Mr. Alinder and I met and conferred by telephone regarding  
4 the Supplemental Response. I subsequently sent Mr. Alinder an email requesting confirmation of  
5 what I believed were Oracle's positions. Mr. Alinder responded by email on February 13  
6 clarifying Oracle's positions, including providing a response to my question as to whether  
7 derivative works based on prior releases of the same work were based on *all* such prior releases.  
8 A true and correct copy of Mr. Alinder's response is attached hereto as Exhibit G.

9       16. Defendants have tried unsuccessfully to access the Customer Connection databases  
10 produced by Oracle and believe that the databases have been produced in a form that is  
11 inaccessible. Defendants' counsel have discussed the access problem with Oracle's counsel and  
12 provided information regarding the error messages received when access is attempted. On the  
13 date of filing of this motion, Oracle's counsel provided additional information relevant to the  
14 access issue, which Defendants are evaluating. Defendants will continue to meet and confer with  
15 Oracle to try to resolve the access issue.

16       17. Defendants first asked the original Plaintiffs to produce inter-company agreements  
17 on July 26, 2007, the day fact discovery opened. The original Plaintiffs agreed, but as of the fall  
18 of 2007, had not done so. Defendants raised the original Plaintiffs' failure to produce the inter-  
19 company agreements, as well as other relevant documents, in meet and confer communications in  
20 October, November and December 2007, and specifically put the original Plaintiffs on notice that  
21 Defendants may raise legal challenges based on the inter-company agreements. On January 4,  
22 2008, the original Plaintiffs again said that they would produce the requested documents but by  
23 the end of January, still had not done so. On January 28, 2008, Defendants raised the issue in a  
24 motion to compel submitted to Judge Legge. On February 25, 2008, some seven months after  
25 Defendants first requested them, Plaintiffs finally produced a few inter-company agreements.  
26 Believing the original Plaintiffs' production to be incomplete, Defendants continued to meet and  
27 confer on the issue. On July 22, 2008, a full year after Defendants requested them, the original  
28 Plaintiffs produced several additional inter-company agreements. Defendants then asked the

1 original Plaintiffs to confirm that they had produced all responsive inter-company agreements.  
2 The original Plaintiffs initially refused to give a straightforward answer to that question. On  
3 August 27, 2008, the original Plaintiffs admitted “that there may be some additional responsive  
4 documents related to inter-company agreements.” The very next day, August 28, 2008, the  
5 original Plaintiffs informed Defendants that they planned to seek leave to amend again to make  
6 “some adjustment to the Plaintiff entities currently described in the Second Amended Complaint”  
7 as a result of their “discovery” of additional documents relating to copyright ownership. Oracle  
8 did amend its complaint to substitute a new roster of Plaintiffs. Judge Hamilton subsequently  
9 dismissed some of the copyright Plaintiffs based on information contained in Oracle’s own  
10 documents. Oracle has continued to provide inter-company agreements since then, including in  
11 the days before the filing of the present motion.

12 18. On March 13, 2009, I sent Mr. Alinder an email requesting production of  
13 documents relating to PeopleSoft’s acquisition of Vantive Corporation. Mr. Alinder responded  
14 on March 17 that Oracle was looking into the issue. I followed up with Mr. Alinder by email on  
15 April 9, requesting to know the status on the Vantive issue and inquiring whether Oracle had  
16 investigated this and other PeopleSoft acquisitions (such as Red Pepper Software) in responding  
17 to Defendants’ discovery requests. Mr. Alinder responded the same day, stating Oracle’s position  
18 that it was not required to search for such documents because of the presumption of validity.

19 19. I have reviewed the registrations for each derivative Registered Work to determine  
20 the content of the response to question No. 6(b) (“Material Added to This Work. Give a brief  
21 general statement of the material that has been added to this work and in which copyright is  
22 claimed.”) For the vast majority of Registered Works, the response is limited to generic  
23 descriptions such as “revisions, additional code and text of computer program,” “new and revised  
24 software code,” and other similar descriptions. As an example, a true and correct copy of the  
25 registration TX 6-541-029 (Initial release of JD Edwards World A7.3) is attached hereto as  
26 Exhibit H.

27 20. I have reviewed the registrations for the initial releases, code changes, ESUs,  
28 cumulative updates, and current development environments for JD Edwards EnterpriseOne Xe,

1 JD Edwards EnterpriseOne 8.0, JD Edwards EnterpriseOne 8.9, JD EnterpriseOne 8.10, JD  
2 EnterpriseOne 8.11, JD EnterpriseOne 8.11 SP1, JD EnterpriseOne 8.12, JD Edwards World  
3 A7.3, JD Edwards World A8.1, and JD Edwards World A9.1. In each case, in response to  
4 question No. 5 on the registration (“Has registration for this work, or for an earlier version of this  
5 work, already been made in the Copyright Office?”), Oracle answered: “No.” As an example, a  
6 true and correct copy of the registration TX 6-545-421 (Cumulative Update 6 for JD Edwards  
7 World A8.1) is attached hereto as Exhibit I.

8 21. Four of the registrations for the PeopleSoft HRMS line of products contain  
9 Continuation Sheets listing modules apparently in response to question No. 6 on the registration.  
10 However, only one of the Continuation Sheets indicates that some of the modules are new  
11 modules (PeopleSoft HRMS 8.8).

12 22. Attached hereto as Exhibits J and K are true and correct copies of registrations TX  
13 4-792-577 (PeopleSoft HRMS 7.0) and TX 4-792-575 (PeopleSoft HRMS 7.5). In each case, in  
14 response to question No. 5 on the registration (“Has registration for this work, or for an earlier  
15 version of this work, already been made in the Copyright Office?”), PeopleSoft answered: “No.”

16 23. A true and correct copy of registration TX 5-456-777 (PeopleSoft 8 Customer  
17 Relationship Management) is attached hereto as Exhibit L. In response to question No. 6(a),  
18 PeopleSoft did not indicate in the registration that it is a derivative work. In response to question  
19 No. 6(b), however, PeopleSoft responded “new software code” and listed certain modules in a  
20 Continuation Sheet for question No. 6. Almost one year later, on July 22, 2002, PeopleSoft  
21 appears to have submitted a new copy of the Continuation Sheet, listing the same modules, but  
22 doesnot appear to have amended the registration to indicate that it was a derivative work.

23 24. Attached hereto as Exhibit M is a true and correct copy of an excerpt from the  
24 Form 10K filed by PeopleSoft on March 25, 2002.

25 25. Attached hereto as Exhibit N is a true and correct copy of an excerpt of a publicly  
26 available document entitled PeopleSoft Customer1 Implementation, published in 2002 by  
27 PeopleSoft and Sun MicroSystems.

28

26. Attached hereto as Exhibit O is a true and correct copy of a March 19, 2003 article by InfoWorld.com, available at <http://www.infoworld.com/d/applications/peoplesoft-preps-new-supply-chain-software-668>.

27. Attached hereto as Exhibit P is a true and correct copy of an article by Manufacturing Business Technology magazine, dated May 1, 2003 and available at <http://www.mbtmag.com/article/CA297244.html>.

28. Attached hereto as Exhibit Q is a true and correct copy of excerpts of a November 18, 2008 letter from John Polito, counsel for Oracle, to Jane Froyd, counsel for Defendants.

29. Attached hereto as Exhibit R is a true and correct copy of an excerpt from a transcript of the March 31, 2009 discovery conference in this matter.

I declare under penalty of perjury under the laws of the United States and the State of California that the foregoing is true and correct.

Executed this 14th day of April, 2009 in San Francisco, California.

/s/ Elaine Wallace  
Elaine Wallace